

Rings: efficient Java/Scala library for polynomial rings

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Rings: *an overview*

▶ **Computational Number Theory**

- ▶ *primes: sieving, testing, factorization*
- ▶ *univariate polynomials over arbitrary coefficient rings: fast arithmetic, gcd, factorization etc.*
- ▶ *Galois fields*

▶ **Computational Commutative Algebra**

- ▶ *multivariate polynomials over arbitrary coefficient rings: fast arithmetic, gcd, factorization etc.*
- ▶ *fast rational function arithmetic*

▶ **Computational Algebraic Geometry**

- ▶ *Gröbner bases*
- ▶ *Ideals in multivariate polynomial rings*

▶ **Programming in Scala**

- ▶ *object-oriented and functional programming in one concise, high-level and statically typed language*

Rings: *motivation*

— *Yet another program for math ?
Really ? What for ???*

An incomplete list of similar software:

Closed source (proprietary)

Magma, Maple, Mathematica,
Fermat, ...

Open source (free)

Singular, Macaulay2, CoCoa,
Reduce, Maxima, Pari/GP, ...
FLINT, NTL, FORM, ...

either quite heavyweight interactive CASs or very specialized libraries or very slow

Goals in Rings:

- ▶ **Lightweight:** *lightweight, portable, extensible and embeddable library (not a CAS)*
- ▶ **Modern:** *concise API which meets modern best programming practices*
- ▶ **Fast:** *use asymptotically fast algorithms to achieve the best performance*

In single sentence (this is ads 😊):

— *Rings is the fastest library written in the
most popular programming language.*

Rings: *motivation (a few examples from physics)*

- ▶ **Processes at Large Hadron Collider**
 - ▶ **Phenomenology**
 - ▶ **One-loop integrals: Passarino-Veltman reduction**
 - ▶ **(Multi-)loop integrals: modern approaches**

Rings: *motivation (a few examples from physics)*

▶ Processes at Large Hadron Collider

▶ Phenomenology

- ▶ **Example:** *tree-level associated production of χ_b and open charm*
 - required taking about 10^4 multivariate GCDs of polynomials with $10^1 - 10^5$ terms in $\mathbb{Q}[x_1, \dots, x_7]$
 - simplification of analytical results required factorization of polynomials with $10^6 - 10^7$ terms in $\mathbb{Q}[x_1, \dots, x_7]$
- ▶ **Example:** *tree-level (NLO*) production of pair of J/ψ and χ_c mesons*
 - required taking 6,145,800 multivariate GCDs of polynomials with $10^1 - 10^5$ terms in $\mathbb{Q}[x_1, \dots, x_6]$
 - total time for GCDs was 11 hours (20% of total time), which is only a part of total time spent in rational function arithmetic
 - all this needed just to prepare for further numerical evaluation

▶ One-loop integrals: Passarino-Veltman reduction

▶ (Multi-)loop integrals: modern approaches

Rings: *motivation (a few examples from physics)*

▶ Processes at Large Hadron Collider

▶ Phenomenology

▶ One-loop integrals: Passarino-Veltman reduction

▶ **Example:** *production of four quarks at one loop in gluon fusion*

- requires to solve up to 86×86 linear system with symbolic coefficients from $\mathbb{Q}[x_1, \dots, x_5]$
- this is already a sort of “record” computation due to intermediate expression swell
- advanced methods: modular techniques, rational reconstruction, Hensel lifting etc

▶ (Multi-)loop integrals: modern approaches

Rings: *motivation (a few examples from physics)*

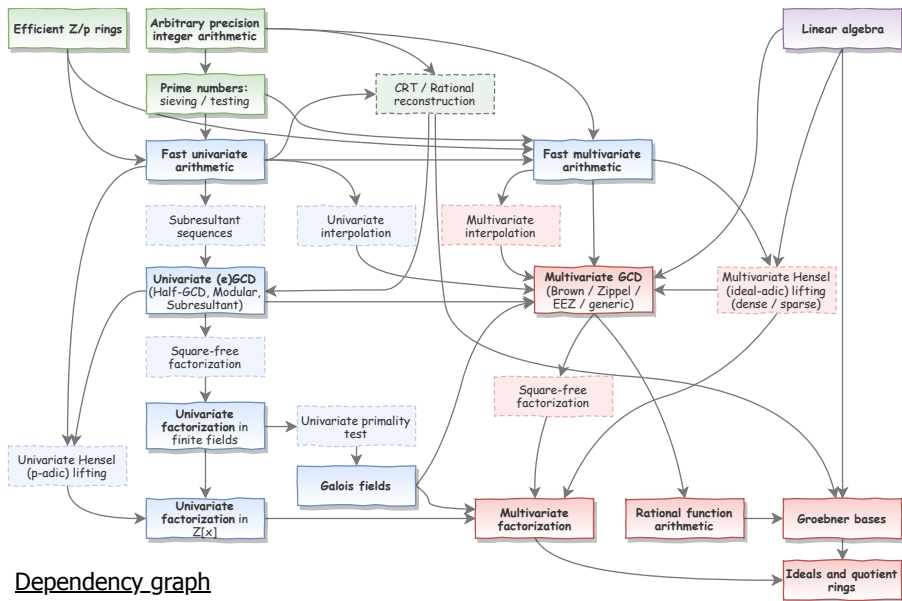
- ▶ **Processes at Large Hadron Collider**
 - ▶ **Phenomenology**
 - ▶ **One-loop integrals: Passarino-Veltman reduction**
 - ▶ **(Multi-)loop integrals: modern approaches**
 - ▶ **Keywords:**
 - Ideals and Gröbner bases
 - Effective Nullstellensatz
 - Multivariate residues
 - ...

Rings: *motivation (a few examples from physics)*

- ▶ **Processes at Large Hadron Collider**
 - ▶ **Phenomenology**
 - ▶ **One-loop integrals: Passarino-Veltman reduction**
 - ▶ **(Multi-)loop integrals: modern approaches**

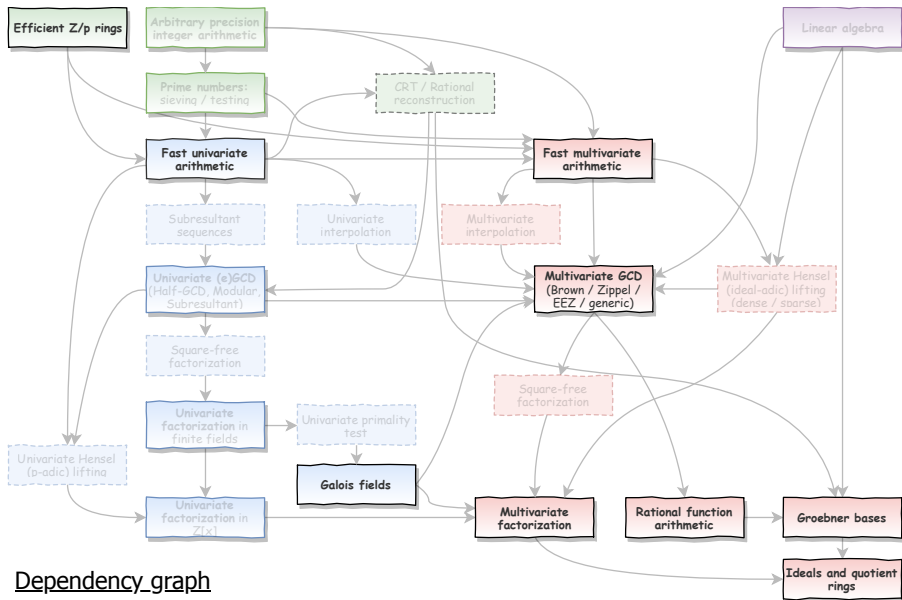
All required mathematical concepts are implemented in Rings with one of the best or even unmatched achieved performance

Rings: implementation aspects



Dependency graph

Rings: implementation aspects



Dependency graph

Rings: *design by examples*

Simple example:

```
1 implicit val ring = UnivariateRing(Q, "x") // base ring Q[x]
2 val x = ring("x") // parse polynomial from string
3 val poly = x.pow(100) - 1 // construct polynomial programmatically
4 val factors = Factor(poly) // factorize polynomial
5 println(factors)
```

- ▶ Explicit types are omitted for shortness, though Scala is fully statically typed

```
val ring : Ring[UnivariatePolynomial[Rational[IntZ]]] = ...
val poly : UnivariatePolynomial[Rational[IntZ]] = ...
```

(types are inferred automatically at compile time if not specified explicitly)

- ▶ Trait `Ring[E]` implements the concept of mathematical ring and defines all basic algebraic operations over the elements of type `E`

```
println( ring.isField ) // access ring properties
println( ring.characteristic ) // access ring characteristic
println( ring.cardinality ) // access ring cardinality
```

- ▶ The `implicit` brings operator overloading via type enrichment (`=> continue`)

Rings: *design by examples*

Meaning of implicits:

```
1 // ring of elements of type E
2 implicit val ring : Ring[E] = ...
3 val a : E = ...
4 val b : E = ...

6 val sum = a + b // compiles to ring.add(a, b)
7 val mul = a * b // compiles to ring.multiply(a, b)
8 val div = a / b // compiles to ring.divideExact(a, b)
```

Example:

```
1 val a : IntZ = Z(12)
2 val b : IntZ = Z(13)
3 assert (a * b == Z(156)) // no any implicit Ring[IntZ]

5 implicit val ring = Zp(17) // implicit Ring[IntZ]
6 assert (a * b == Z(3)) // multiplication modulo 17
```

Rings: *design by examples*

Multivariate polynomials

```
1 // base ring Q[x, y, z]
2 implicit val ring = MultivariateRing(Q, Array("x", "y", "z"))
3 // parse polynomial from strings
4 val (x, y, z) = ring("x", "y", "z")
5 // construct polynomial programmatically
6 val poly1 = (x + y + z).pow(10) - 1
7 // or again parse from string
8 val poly2 = ring("(x + y + z)^3 + 1")
9 // compute GCD
10 println(PolynomialGCD(poly1, poly2))
11 // factorize multivariate polynomial
12 println(Factor(poly1))

14 // construct some non-trivial ideal
15 implicit val ideal = Ideal(Seq(poly1 - x, poly2 - y), LEX)
16 assert ( ideal.dimension == 1 )
17 // reduce poly modulo ideal
18 assert ( poly1 %% ideal == x )
19 assert ( poly2 %% ideal == y )
```

Rings: *design by examples*

Rational function arithmetic:

```
1 // rational functions Frac(Z[x, y, z])
2 implicit val ring = Frac(MultivariateRing(Z, Array("x", "y", "z")))
3 // parse expressions from strings
4 val (x, y, z) = ring("x", "y", "z")
5 // or construct programmatically
6 val expr1 = x / y + z.pow(2) / (x + y - 1)
7 // or import from file
8 import scala.io.Source
9 val expr2 = ring(Source.fromFile("myFile.txt").mkString)
11 val expr3 = expr1 * expr2
12 // unique factor decomposition of fraction
13 println ( ring.factor(expr3) )
```

- ▶ Fractions are always reduced to a common denominator and GCD is cancelled automatically;

Rings: *design by examples*

| Built-in ring | Description |
|---|---|
| \mathbb{Z} | ring of integers |
| \mathbb{Q} | field of rationals |
| $\mathbb{Z}_p(p)$ | integers modulo p |
| $\text{GF}(p, q)$ | finite field with cardinality p^q |
| $\text{Frac}(R)$ | field of fractions over Euclidean ring R |
| $\text{UnivariateRing}(R, x)$ | univariate ring $R[x]$ |
| $\text{MultivariateRing}(R, \text{vars})$ | multivariate ring $R[x_1, x_2, \dots]$ |
| $\text{QuotientRing}(R, \text{ideal})$ | multivariate quotient ring $R[x_1, x_2, \dots]/I$ |

Rings: *design by examples*

Diophantine equations: solve $\sum f_i s_i = \gcd(f_1, \dots, f_N)$ for given f_i and unknown s_i :

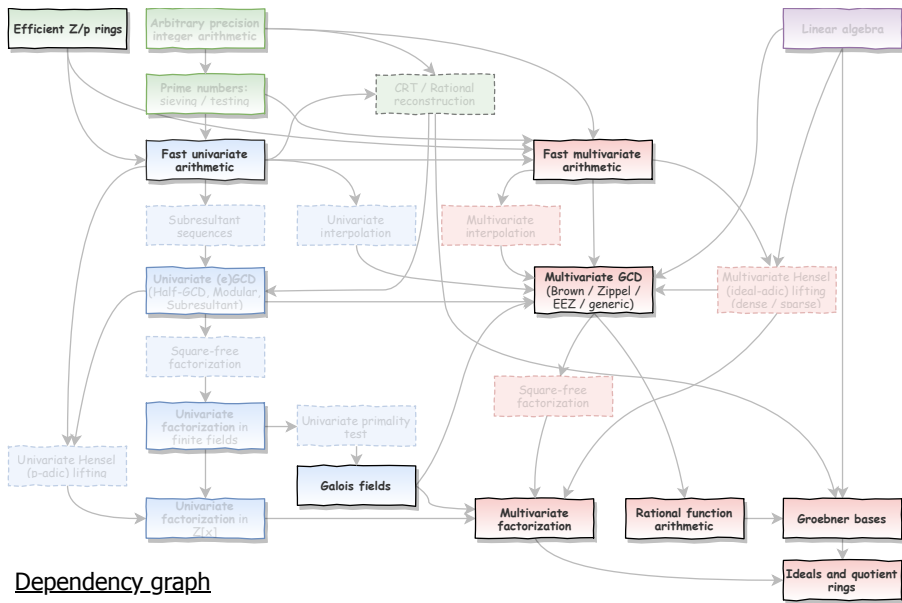
```
1 def solveDiophantine[E](fi: Seq[E])(implicit ring: Ring[E]) =
2   fi.foldLeft((ring(0), Seq.empty[E])) { case ((gcd, seq), f) =>
3     val xgcd = ring.extendedGCD(gcd, f)
4     (xgcd(0), seq.map(_ * xgcd(1)) :+ xgcd(2))
5   }
```

Diophantine equations in $\text{Frac}(\text{GF}(17^3)[x, y, z])[W]$:

```
1 // Galois field GF(17, 3)
2 implicit val gf    = GF(17, 3, "t")
3 // Rational functions in x, y, z over GF(17, 3)
4 implicit val fracs = Frac(MultivariateRing(gf, Array("x", "y", "z")))
5 // univariate ring Frac(GF(17, 3)[x,y,z])[W]
6 implicit val ring  = UnivariateRing(fracs, "W")

8 val f1 = ring("1 + t^2 + x/y - W^2") // parse elements from strings
9 val f2 = ring("1 + W + W^3/(t - x)") // parse elements from strings
10 val f3 = ring("t^2 - x - W^4")      // parse elements from strings
11 // do the job
12 val solve = solveDiophantine(Seq(f1, f2, f3))
```


Rings: implementation aspects



Dependency graph

Rings: *modular arithmetic with machine numbers*

▶ Arithmetic in \mathbb{Z}_p with word-sized p ($p < 2^{64}$) lies in the basis of the most part of fundamental algorithms and directly affects performance of all computations

▶ $N \bmod p \equiv N - \lfloor N/p \rfloor$ — this is how remainder is computed by the CPU

▶ Integer division (DIV) is one of the most inefficient CPU instructions:

▶ it has 20-80 times worth throughput than e.g. MUL (for Intel Skylake)

▶ it breaks CPU pipelining

▶ **The hack** (*Barret reduction; see Hacker's delight*):

▶ Compute *once* the *magic* = $\lfloor 2^m/p \rfloor$ for sufficiently large m

▶ Then $\lfloor N/p \rfloor = (N \times \text{magic})/2^m$ which is one MUL and one SHIFT

▶ **Another hack:**

▶ $(a \times b)_{\mathbb{Z}_p} = (a \times b) \bmod p$ if a and b are less than 2^{32} (fast)

▶ else, the Montgomery multiplication is used

▶ Modular arithmetic in Rings is 3-5 times faster than with native CPU instructions and especially fast in \mathbb{Z}_p rings with $p < 2^{32}$

Rings: *polynomials*

► Univariate polynomials are always dense:

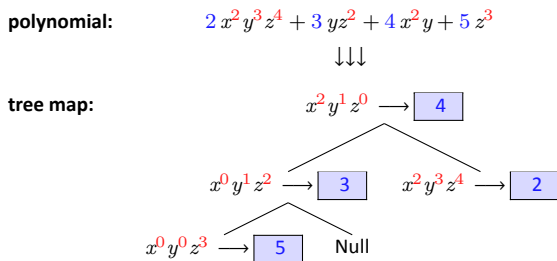
polynomial: $c_0 + c_1x + c_2x^2 + \dots + c_nx^n$

array:

| | | | | |
|-------|-------|-------|---------|-------|
| c_0 | c_1 | c_2 | \dots | c_n |
|-------|-------|-------|---------|-------|

- *native arrays are used to store univariate polynomials*

► Multivariate polynomials are sparse:

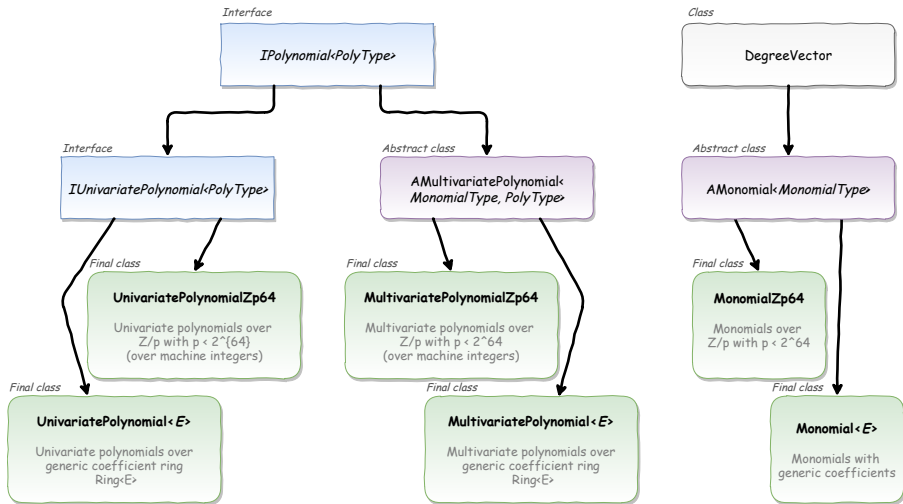


- *red-black tree map is used to store multivariate terms*

Rings: *polynomials*

- ▶ Polynomials over \mathbb{Z}_p with $p < 2^{64}$ (machine numbers) have separate implementations
 - ▶ `E[] data` — *generic array for univariate polynomials over generic rings (with elements of reference type E)*
 - ▶ `long[] data` — *native array for univariate polynomials over \mathbb{Z}_p with $p < 2^{64}$ (machine words)*
- ▶ Motivation:
 - ▶ \mathbb{Z}_p with $p < 2^{64}$ already has separate implementation
 - ▶ more specific and optimized algorithms
 - ▶ avoid inefficient generics with primitive types in Java (however, e.g. in C/C++ one would have to do the same, like in NTL)

Rings: polynomials



Rings: *polynomials*

| | Univariate (n is polynomial degree) | Multivariate (n is polynomial size) |
|-----------------------------|---|---|
| Addition/Subtraction | $O(n)$ | $O(n \log n)$ |
| Multiplication | $O(n^{\log_2 3})$ via Karatsuba method (with lots of heuristic to reduce the constant) | $O(nm \log(n) \log(m))$ via plain method (Kro- necker trick is used to significantly reduce the constant) |
| Division | $O(n^{\log_2 3})$ via Newton's iteration (with lots of heuristic to reduce the constant) | $O(nm \log(n) \log(m))$ via plain method |
| Evaluation | $O(n)$ via Horner method | $O(n \log(d))$ via plain method with caching or via recursive Horner scheme |

Rings: *polynomial GCD*

▶ **Univariate (e)GCD:**

- ▶ Rings switches between Euclidean GCD, Half-GCD and Brown's GCD (for coefficient rings with characteristic zero)

▶ **Multivariate GCD:**

- ▶ for sparse inputs Rings uses Zippel's algorithm based on linear algebra
- ▶ for relatively dense polynomials Rings uses Enhanced Extended Zassenhaus (EEZ) approach based on multivariate (ideal-adic) Hensel lifting
- ▶ when the coefficient ring has very small cardinality Rings uses a version of Kaltofen-Monagan generic GCD algorithm
- ▶ for coefficient rings of characteristic zero, modular algorithm (Zippel-like for sparse or Brown-like with EEZ for dense inputs) is used
- ▶ *all these contain tons of heuristic (code for algorithms spans more than 5,000 l.o.c.)*

Rings: *polynomial GCD*

Benchmarks:

- ▶ Generate three polynomials a , b and g at random and compute $gcd(ag, bg)$ (non-trivial) and $gcd(ag + 1, bg)$ (trivial)
- ▶ Terms of polynomials are generated independently
- ▶ Two ways to generate exponents inside terms:
 - ▶ *Uniform exponents* (uniform distribution):
choose each exponent independently in range $\exp_{\min} \leq \exp_i < \exp_{\max}$; the total degree will be $N_{\text{vars}} \exp_{\min} \leq \exp_{\text{tot}} < N_{\text{vars}} \exp_{\max}$
Example ($\exp_{\min} = 0, \exp_{\max} = 10$):

$$\dots + x^5 y^2 z^8 + x^3 y^8 z^6 + \dots$$

- ▶ *Sharp exponents* (multinomial distribution):
choose the total degree \exp_{tot} , then for the first variable $0 \leq \exp_1 \leq \exp_{\text{tot}}$, for the second variable $0 \leq \exp_2 \leq (\exp_{\text{tot}} - \exp_1)$ and so on
Example ($\exp_{\text{tot}} = 10$):

$$\dots + x^7 y^2 z^1 + x^0 y^8 z^2 + \dots$$

Rings: *polynomial GCD*

Params (a,b,g):

#terms = 40

#bits = 32

$\text{exp}_{\min} = 0$

$\text{exp}_{\max} = 30$

#terms = 40

#bits = 32

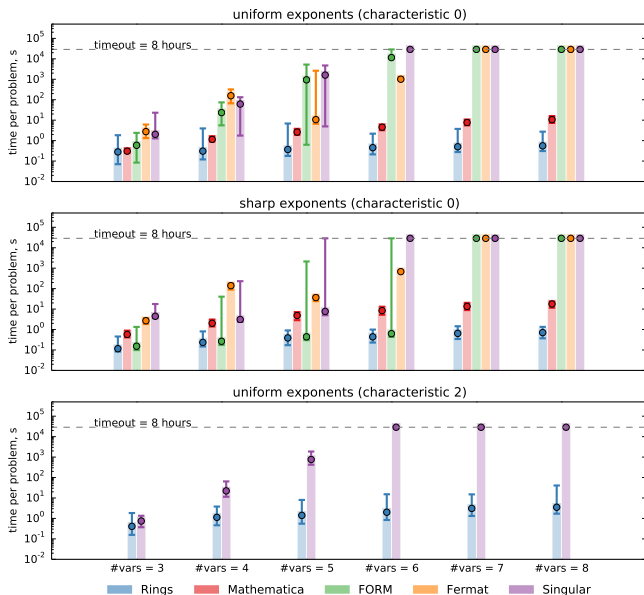
$\text{exp}_{\text{tot}} = 50$

#terms = 40

#bits = 1

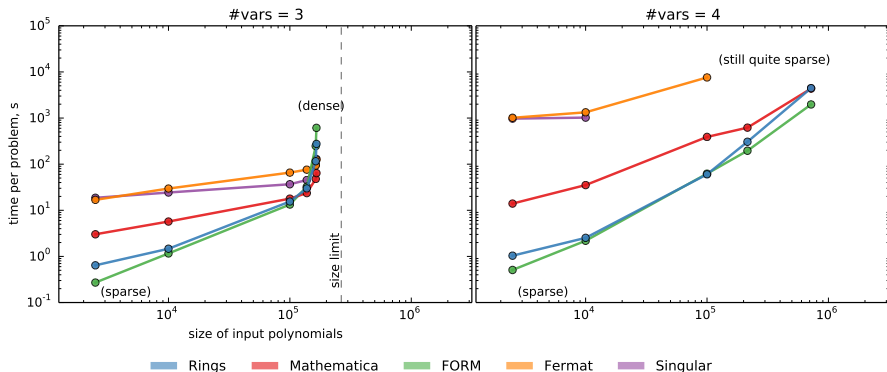
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Rings: polynomial GCD

"Record" problems:



Params (a,b,g):

$\text{exp}_{\text{tot}} = 50$ / #bits = 128 / #terms = 50, 100, 500, 1000, 5000

Rings: *polynomial GCD*

Dense input:

$$a = (1 + 3x_1 + 5x_2 + 7x_3 + 9x_4 + 11x_5 + 13x_6 + 15x_7)^7 - 1$$

$$b = (1 - 3x_1 - 5x_2 - 7x_3 + 9x_4 - 11x_5 - 13x_6 + 15x_7)^7 + 1$$

$$g = (1 + 3x_1 + 5x_2 + 7x_3 + 9x_4 + 11x_5 + 13x_6 - 15x_7)^7 + 3$$

| Problem | Cf. ring | Rings | Mathematica | FORM | Fermat | Singular |
|--------------------|-----------------------|-------|-------------|------|--------|----------|
| $\gcd(ag, bg)$ | \mathbb{Z} | 104s | 115s | 148s | 1759s | 141s |
| $\gcd(ag, bg + 1)$ | \mathbb{Z} | 0.4s | 2s | 0.3s | 0.1s | 0.4s |
| $\gcd(ag, bg)$ | \mathbb{Z}_{524287} | 25s | 33s | N/A | 147s | 46s |
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Rings: polynomial GCD

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- ▶ GCD performance on trivial input is very important (since e.g. most part of GCDs computed in rational function arithmetic are trivial)

Rings: polynomial GCD

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- ▶ GCD performance on trivial input is very important (since e.g. most part of GCDs computed in rational function arithmetic are trivial)
- ▶ one have to make a trade-off between performance on non-trivial and trivial inputs

Rings: *polynomial factorization*

▶ **Univariate factorization:**

- ▶ Rings switches between Cantor-Zassenhaus and Shoup's baby-step-giant-step algorithms for polynomials over finite fields
- ▶ p-adic Hensel lifting is used to compute factorization over \mathbb{Z} (resp. \mathbb{Q})

▶ **Multivariate factorization:**

- ▶ for bivariate polynomials Bernardin's algorithm is used
- ▶ Kaltofen's algorithm is used in all other cases
- ▶ ideal-adic Hensel lifting switches between sparse (based on linear algebra) and dense (based on Bernardin's algorithm)
- ▶ *all these contain tons of heuristic*

Rings: *polynomial factorization*

Benchmark: generate three polynomials a , b and c at random and compute $factor(abc)$ (non-trivial) and $factor(abc + 1)$ (trivial)

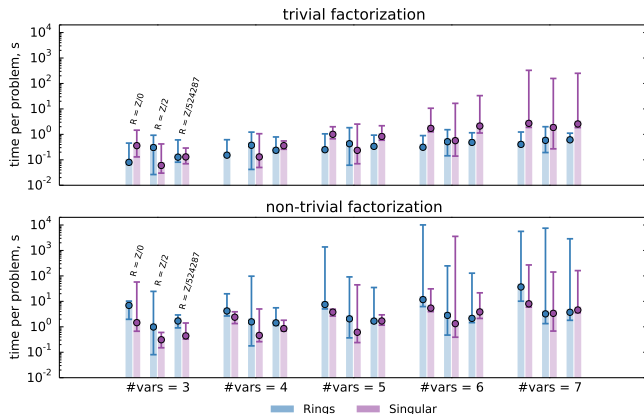
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$exp_{max} = 30$



Rings: *polynomial factorization*

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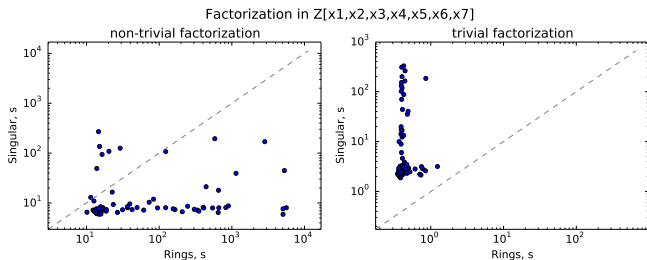
Params:

`#factors = 3`

`#terms = 20`

`expmin = 0`

`expmax = 30`



Rings: *polynomial factorization*

Dense input:

$$p_1 = (1 + 3x_1 + 5x_2 + 7x_3 + 9x_4 + 11x_5 + 13x_6 + 15x_7)^{15} - 1$$

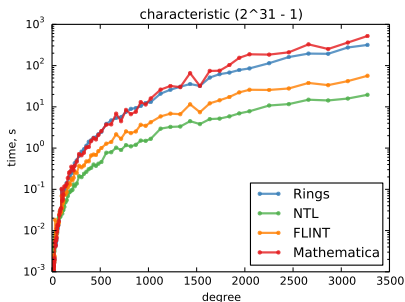
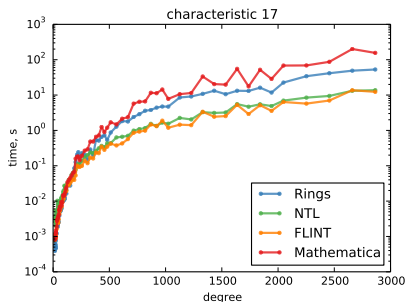
$$p_2 = -1 + (1 + 3x_1x_2 + 5x_2x_3 + 7x_3x_4 + 9x_4x_5 + 11x_5x_6 + 13x_6x_7 + 15x_7x_1)^3 \\ \times (1 + 3x_1x_3 + 5x_2x_4 + 7x_3x_5 + 9x_6x_5 + 11x_7x_6 + 13x_6x_1 + 15x_7x_2)^3 \\ \times (1 + 3x_1x_4 + 5x_2x_5 + 7x_3x_6 + 9x_6x_7 + 11x_7x_1 + 13x_6x_2 + 15x_7x_3)^3$$

| Problem | Cf. ring | Rings | Singular | Mathematica |
|-------------------------|-----------------------|-------|----------|-------------|
| <i>factor</i> (p_1) | \mathbb{Z} | 55s | 20s | 271s |
| <i>factor</i> (p_1) | \mathbb{Z}_2 | 0.25s | > 1h | N/A |
| <i>factor</i> (p_1) | \mathbb{Z}_{524287} | 28s | 109s | N/A |
| <i>factor</i> (p_2) | \mathbb{Z} | 23s | 12s | 206s |
| <i>factor</i> (p_2) | \mathbb{Z}_2 | 6s | 3s | N/A |
| <i>factor</i> (p_2) | \mathbb{Z}_{524287} | 26s | 9s | N/A |

Rings: polynomial factorization

Univariate input:

$$p_{\text{deg}}[x] = 1 + \sum_{i=1}^{i \leq \text{deg}} i \times x^i$$



▶ This benchmark covers almost all aspects of univariate arithmetic in finite fields

Rings: Gröbner bases

- ▶ **Note:** Rings is not optimized for computing Gröbner bases for “challenging” problems yet (like those arise in post-quantum cryptography)
- ▶ Gröbner bases for graded orders for polynomials over finite fields computed with Faugere’s F4 algorithm (hardly based on fast sparse linear algebra)
- ▶ In other cases Rings may switch between Buchberger algorithm (with different selection strategies), Hilbert-driven methods or modular algorithms
- ▶ Again, many heuristics applied

| Problem | Cf. ring | Rings | Mathematica | Singular |
|------------|------------------------|--------|-------------|----------|
| cyclic-7 | $\mathbb{Z}_{1000003}$ | 3s | 26s | N/A |
| cyclic-8 | $\mathbb{Z}_{1000003}$ | 51s | 897s | 39s |
| cyclic-9 | $\mathbb{Z}_{1000003}$ | 14603s | ∞ | 8523s |
| katsura-7 | $\mathbb{Z}_{1000003}$ | 0.5s | 2.4s | 0.1s |
| katsura-8 | $\mathbb{Z}_{1000003}$ | 2s | 24s | 1s |
| katsura-9 | $\mathbb{Z}_{1000003}$ | 2s | 22s | 1s |
| katsura-10 | $\mathbb{Z}_{1000003}$ | 9s | 216s | 9s |
| katsura-11 | $\mathbb{Z}_{1000003}$ | 54s | 2295s | 65s |
| katsura-12 | $\mathbb{Z}_{1000003}$ | 363s | 28234s | 677s |
| katsura-7 | \mathbb{Z} | 5s | 4s | 1.2s |
| katsura-8 | \mathbb{Z} | 39s | 27s | 10s |
| katsura-9 | \mathbb{Z} | 40s | 29s | 10s |
| katsura-10 | \mathbb{Z} | 1045s | 251s | 124s |

Rings: *final technical aspects*

▶ **90,929** lines of code: **83,018** (.java) + **7,911** (.scala)

▶ Licensed under **Apache 2.0**

▶ Sources at **GitHub**:

<https://github.com/PoslavskySV/rings>

▶ Comprehensive documentation at **RTD**:

<https://rings.readthedocs.io>

▶ Covered by **tens of thousands of tests**:

<http://circleci.com/gh/PoslavskySV/rings> (CI)

▶ Interactive **REPL**:

```
sh> brew install PoslavskySV/rings/rings.repl
```

Rings: *conclusions*

▶ **Computational Number Theory**

- ▶ *primes: sieving, testing, factorization*
- ▶ *univariate polynomials over arbitrary coefficient rings: fast arithmetic, gcd, factorization etc.*
- ▶ *Galois fields*

▶ **Computational Commutative Algebra**

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- ▶ *object-oriented and functional programming in one concise, high-level and statically typed language*

Rings: *conclusions*

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With one of the best or even unmatched performance